PTO/SB/05 (03-01) Approved for use through 10/31/2002 OMB 0651-0032 740756-2397 UTILITY Attorney Docket No. Shunpei YAMAZAKI et al ATENT APPLICATION First Inventor METHOD OF FABRICATING A SEMICONDUCTOR DEVICE TRANSMITTAL



(Only factor monprov	isional applications under 37 CFR 1.53(b))	Express Mail Label No	\neg
APPLICATION ELEMENTS		Commissioner for Patents	\neg
		ADDRESS TO Box Patent Application	
See MPEP chapter 600 concerning utility patent application contents 1. Fee Transmittal Form (e.g., PTO/SB/17)		Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or	ᅱ
(Submit an original and a duplicate for fee processing)		Computer Program (Appendix)	1
2. Applicant claims small entity status		8 Nucleotide and/or Amino Acid Sequence Submission	
See 37 CFR 1.27		(if applicable, all necessary)	
3. Specification [Total Pages 75]		a. Computer Readable Form (CRF)	
(preferred an angement set forth below) - Descriptive title of the invention		b Specification Sequence Listing on	- 1
- Cross Reference to Related Applications (if applicable)		ı ☐ CD-ROM oı CD-R (2 copies, oı ıı. ☐ paper	
- Statement Regarding Fed sponsored R & D (if applicable)		c Statements verifying identity of above copies	1
	to sequence listing, a table, iter program listing appendix (if applicable)	ACCOMPANYING APPLICATION PARTS	\dashv
- Background of the Invention			
- Brief Summary of the Invention		9 Assignment Papers (cover sheet & document(s))	
- Brief Description of the Drawings (if filed)		10 37 CFR 3 73(b) Statement Power of	- 1
- Detailed Description - Claim(s)		(when there is an assignee) Attorney	1
- Abstract of the Disclosure		11 ☐ English Translation Document (if applicable) 12 ☑ Information Disclosure ☑ Copies of ID	
Drawing(s) (35 U S C. 113) Figs. 1A-21 [Total Sheets 21]		Statement (IDS)/PTO-1449 Cutations	3
Gath or Declaration [Total Pages 5]		13 Preliminary Amendment	1
🔲 a. 🗷 Newly exe	cuted (original or copy)	14 🗷 Return Receipt Postcard (MPEP 503)	
E Drawing(s) (35 U S C. 113) Figs. 1A-21 [Total Sheets 21]		(Should be specifically itemized)	
(for continuation/divisional with Box 18 completed)		15 🗷 Certified Copy of Priority Document(s) Japanese	- }
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)		Nos. 2000-370787 filed December 5, 2000, 2000- 370794 filed December 5, 2000, 2000-370724 filed	.
I named	in the prior application, see 37 CFR	December 5, 2000 and 2000-403513 filed Decemb	
1 63(d)(2) and 1.33(b)		<u>28, 2000</u>	
Application Data Sheet. See 37 CFR 1 76		(if foreign priority is claimed)	1
		16 Nonpublication request under 35 U.S.C	
		122(b)(2)(B)(1) Applicant must attach form PTO/SB/35 or its equivalent.	
		17 🗆 Other	
manda (r.)			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,			
To in an Application Data Sheet under 37 CFR 1 76. Continuation Divisional Continuation-in-part (CIP) of prior application No/			
Prior application information: Examiner Group / Art Unit			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The			
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
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Signature	M- 1. (h)	Date 12/5/01	
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Approved for use through 10/31/2002 OMB 0651-0032

FEE TRANSMITTAL
FOR FY 2001

Complete if Known

Application Number 740756-2397

Filmg Date December 5, 2001

First Named Inventor Shunpei YAMAZAKI et al

Examiner Name Not Yet Assigned

Group Art Unit Not Yet Assigned

Attacking Deckey No. 740756, 2207

Patent fees are subject to annual revision TOTAL AMOUNT OF PAYMENT Attorney Docket No (\$) \$2610.00 740756-2397 METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES 1. 区 The Commissioner is hereby authorized to charge indicated Small fees and credit any overpayments to Large Entity Entity Fee Fee Deposit Fee 19-2380 (740756-2397) Code (\$) Code Fee Description Fee Paid Number 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet Deposit Nixon Peabody LLP Account 139 130 139 130 Non-English transaction Name 147 2.520 147 2,520 For filing a request for ex parte reexamination Charge Any Additional Fee Required 112 920* 920* Requesting publication of SIR prior to Examiner action 112 Under 37 CFR 1 16 and 1 17 113 1.840* 113 1,840* Requesting publication of SIR after Examiner action Applicant claims small entity status 115 110 215 55 Extension for reply within first month See 37 CFR 1 27 400 200 200 Extension for reply within second month X Payment Enclosed: 117 920 460 460 Extension for reply within third month E Check Credit Card Other Money 118 1,440 720 720 Extension for reply within fourth month Order FEE CALCULATION 1,960 228 980 Extension for reply within fifth month 1. BASIC FILING FEE 119 320 219 160 Notice of Appeal Small Entity 120 320 220 160 Filing a brief in support of an appeal Large Entity Fee Fee Fee Description 121 280 221 140 Request for oral hearing Fee Paid Code **(S)** Code (\$) 740.00 138 1,510 138 1,510 Petition to institute a public use proceeding 101 740 201 370 Utility filing fee 140 110 240 55 Petition to revive - unavoidable 106 330 206 165 Design filing fee 141 1.280 241 640 Petition to revive - unintentional 107 510 207 255 Plant filing fee 142 1.280 242 640 Utility issue fee (or reissue) 108 740 208 370 Reissue filing fee 143 460 243 230 Design issue fee 114 160 214 80 Provisional filing fee 310. Plant issue fee 144 620 244 122 130 122 130 Petitions to the Commissioner (\$) 740 00 SUBTOTAL (1) 123 2. EXTRA CLAIM FEES 123 50 50 Processing fee under 37 CR 1 17(q) 180 Submission of Information Disclosure Stint 126 180 126 Fee from Extra Claims Fee Paid below X 18 00 103 581 40 Recording each patent assignment per property (times -20** 83 581 40 40.00 number of properties) X 84 00 Independent 146 740 246 370 Filing a submission after final rejection (37 CFR § 1 129(a)) 370 For each additional invention to be examined (37 CFR Multiple Dependent 149 740 249 § 1 29(b)) 179 740 279 370 Request for Continued Examination (RCE) Small Entity Large Entity Fee Description Fee Fee Fee Code (S) Code 900 900 Request for expedited examination of a design 103 18 203 9 Claims in excess of 20 Other fee (specify) _ 102 84 202 42 Independent claims in excess of 3 140 Multiple dependent claim, if not paid 104 280 204 * Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S) 40.00 109 84 209 42 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and CERTIFICATE OF MAILING I hereby certify that this con espondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington DC 20231, on over original patent SUBTOTAL (2) (S) 1830.00 **or number previously paid, if greater, For Reissues, see above SUBMITTED BY Complete (if applicable) Registration No (703)790-9110 Jeffrey L. Costellia #35,483 Name (Print/Type) Telephone (Attorney/Agey) Date Signature